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Attorney Docket No.: PATENT
SONY-15200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jon Ebbe Brelin

Serial No.: 09/971,998

Filed: October 4, 2001

For: **A METHOD OF AND APPARATUS
FOR CANCELLING A PENDING
AV/C NOTIFY COMMAND**

Group Art Unit: 2181

Examiner:

TRANSMITTAL LETTER

162 N. Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

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APR 15 2003

Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2100

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: April 10, 2003

By: Jonathan O. Owens

Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

- 1 -

HAVERSTOCK & OWENS LLP

Date: 4/10/03 By: Sharon M. Connelley



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Attorney Docket No.: SONY-15200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|--|---|---|
| In re Application of: |) | Group Art Unit: 2181 |
| Jon Ebbe Brelin |) | Examiner: |
| Serial No.: 09/971,998 |) | CERTIFICATION FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(e) |
| Filed: October 4, 2001 |) | |
| For: A METHOD OF AND APPARATUS FOR CANCELLING A PENDING AV/C NOTIFY COMMAND |) | |
| |) | 162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700 |

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure
Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on March 18, 2003. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

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Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: April 10, 2003

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP.

Date: 4/10/03 By: Sharon M. Conner



Attorney Docket No.: PATENT
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) Group Art Unit: 2181

) Examiner:

) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

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Washington, D.C. 20231

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Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 5,555,413;
- U.S. Patent No. 5,724,646;
- U.S. Patent No. 6,370,688 B1;
- U.S. Patent No. 6,438,110 B1;
- U.S. Patent No. 6,513,064 B1; and
- U.S. Patent No. 6,516,416 B2.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: April 10, 2003

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP

Date: 4/10/03 By: Sharon McCormick